

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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| -----   | X |
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| <b>In re</b>                                  | : |
|   | : |
|   | : |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b> | : |
|   | : |
| <b>Debtors.</b>                               | : |
|   | : |
|   | : |
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| -----   | X |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK   )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 29, 2020, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated January 29, 2020, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

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<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan  
Wing Chan

Sworn to before me this  
30<sup>th</sup> day of January, 2020

/s/ Forrest Kuffer

Notary Public, State of New York  
No. 01KU6369117  
Qualified in Kings County  
Commission Expires January 26, 2021

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

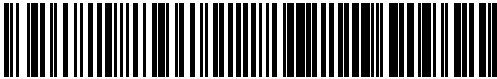
To:

GOLDMAN SACHS & CO.  
TRANSFEROR: TURNPIKE LIMITED  
ATTN: MICHELLE LATZONI  
200 WEST STREET  
NEW YORK, NY 10282

Please note that your claim # 545214-38 in the above referenced case and in the amount of  
\$182,334.82 allowed at \$69,365.43 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000160931898 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000192678



BKM HOLDINGS (CAYMAN) LTD.  
TRANSFEROR: GOLDMAN SACHS & CO.  
C/O DAVIDSON KEMPNER-J.DONOVAN  
520 MADISON AVENUE, 30TH FLOOR  
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 60297 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/29/2020

Vito Genna, Clerk of Court

/s/Andrea Speelman

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 29, 2020.

## **EXHIBIT B**

| <b>Claim Name</b>          | <b>Address Information</b>  |
|----------------------------|---|
| BANK JULIUS BAER & CO LTD  | TRANSFEROR: CREDIT SUISSE, ATTN: PATRIK ROOS, BAHNHOFSTRASSE 36, CH-8010 ZURICH SWITZERLAND                         |
| BKM HOLDINGS (CAYMAN) LTD. | TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022 |
| BKM HOLDINGS (CAYMAN) LTD. | TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022 |
| CREDIT SUISSE              | ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010   |
| CREDIT SUISSE              | CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019            |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
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| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
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| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| ENTRIS BANKING AG          | TRANSFEROR: INCORE BANK AG, C/O SWISSCOM SCHWEIZ AG- CTG, FROBURGST. 10, CH-4600 OLTEN SWITZERLAND                  |
| GOLDMAN SACHS & CO.        | TRANSFEROR: TURNPIKE LIMITED, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282                           |

SENDER LIST  
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| Claim Name               | Address Information  |
|--------------------------|--|
| GOLDMAN SACHS & CO.      | TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND, L.P., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282 |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | TRANSFEROR: LIQUIDITY SOLUTIONS, INC., SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND           |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH 8022 SWITZERLAND  |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
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| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| INCORE BANK AG           | SWISS BANKING SERVICES, DREIKONIGSTRASSE 8, ZURICH CH-8022 SWITZERLAND   |
| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
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| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
| MULTIPLICITY PARTNERS AG | TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND  |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
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| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |
| ST. GALLER KANTONALBANK  | ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND   |

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| <b>Total Creditor Count 69</b> |
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